



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems**  
**UNIFORM PRACTICE CODE ADVISORY (UPC # 001-2017) January 18, 2017**  
**WHEN, AS AND IF ISSUED SETTLEMENT DATES**

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**ISSUES**

**SETTLEMENT DATE**

AquaBounty Technologies, Inc.  
- Common Stock When-Issued  
**(Nasdaq: AQBTV)**

Tuesday, January 24, 2017

Questions regarding this notice should be directed to: Corporate Data Operations  
Department, 877-308-0523.

Tara Petta  
Director