



Nasdaq Service Facilitator Application

This Service Facilitator Application is for the NASDAQ Global Data Agreement and/or other relevant NASDAQ distributor agreements. NASDAQ requires that your firm submit a **separate** Service Facilitator Application for each service facilitator that provides a market data service.

* If a particular line item is not applicable, leave blank.

Section 1: Distributor Information

Date of Application:	
Distributor Name:	
Distributor System Name:	
This Application is associated with:	<input type="checkbox"/> A new Agreement <input type="checkbox"/> An update of the existing Agreement

Section 2: Service Facilitator Information

Service Facilitator Name:	
Service Facilitator Administrative Contact	Service Facilitator Billing Contact
Mr./Ms./Mrs. _____ First Name Last Name	Mr./Ms./Mrs. _____ First Name Last Name
_____ Phone Number	_____ Phone Number
_____ E-mail Address	_____ E-mail Address
_____ Group E-mail Address (optional)	_____ Group E-mail Address (optional)
_____ Billing Address	_____ Billing Address
_____ Address	_____ Address

Section 3: Billing, Reporting, Administration Information

Note: NASDAQ can only invoice firms that have signed a market data distributor agreement.

Billing Address		
Billable Entity: _____ Distributor or _____ Service Facilitator		
_____ Street Address		_____ Street Address (P.O. Box Numbers Not Accepted)
_____ City, State, Province, Postal Code		_____ Country
Reporting Information		
NASDAQ Account Number	_____ or New Invoice	
Monthly Summary Reporting	Distributor Service Facilitator	NASDAQ ONLINE REPORTING APPLICATION (NORA) Email
Monthly Detailed Reporting (required for datafeed products and external Distributors)	Distributor Service Facilitator	VRXLS/VRCSV Template

Section 4: Data Feed, Agreement & Display Information

1. Does the Service Facilitator receive a datafeed/API/uncontrolled stream of data from the Distributor or the Distributor's Group?	Yes, and Service Facilitator will also sign a market data Distributor Agreement	No
2. Does the Distributor agree to be bound by the Service Facilitator Policy as posted in the NASDAQ Data Requirements?	Yes	No, Service Facilitator will sign a market data distributor agreement directly with NASDAQ
3. Does the Distributor confirm the Service Facilitator Application meets the NASDAQ Display Requirements?	Yes, screenshot attached	No not applicable, as the Service Facilitator will not display the data

Section 5: System Information

Functions	Distributor		Service Facilitator	
	Yes	No	Yes	No
1. Entitlements				
2. Entitlement System Details	Name of Entitlement System: _____ Version Number: _____			
3. Metering/Quote Counting/Usage Counting				
4. Display Software				
5. Display Software Details	Name of Display Software: _____ Version Number: _____			
6. Monthly Usage Reporting				
7. Subscriber Agreements				
8. Subscriber Agreement Administration				
9. Subscriber Agreement Administration Details	Distributor Indemnification Online Incorporation by Reference Hard Copy/Paper			
10. Other (specify)				

I certify that the information provided on this Service Facilitator Application is accurate.

Distributor Signature:	
Printed Name:	
Title:	
Date:	

All applicable policy details and documents may be accessed at:

<http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsData/datapolicies.pdf>.