

Updated: NASDAQ Offers Upcoming Testing Opportunities for Changes to the Stock Symbol System Plan



Please Route To: Head Traders; Technical Contacts; Compliance Officers; Vendor Technical Contacts

What you need to know:

- As announced in [Head Trader Alert #2007-008](#), NASDAQ is offering firms the following opportunities for testing changes to the stock symbol system plan:
 - From Thursday, February 1, 2007, through Friday, February 16, 2007, NASDAQ will offer 1-, 2- and 3-character NASDAQ-listed test issues in production in the **after-hours trading session**.
 - On Tuesday, February 20, 2007, NASDAQ will offer 1-, 2- and 3-character NASDAQ-listed test issues in production in **all trading sessions**.
- NASDAQ strongly recommends that all impacted customers participate in these testing opportunities.
- **Updated February 1, 2007:** The listing markets of the test symbols have been updated.

Whom you should contact: [NASDAQ Symbology Team](#)

What is the upcoming testing schedule for the stock symbol system changes?

As announced in [Head Trader Alert #2007-008](#), NASDAQ® is offering the following testing opportunities for changes to the stock symbol system plan:

- **February 1-16, 2007:** 1-, 2- and 3-character NASDAQ-listed test issues in production in the **after-hours trading session**.
- **February 20, 2007:** 1-, 2- and 3-character NASDAQ-listed test issues in production in **all trading sessions**.

What are the details of the first testing opportunity?

From Thursday, February 1st through Friday, February 16th, the testing of 1-, 2- and 3-character NASDAQ-listed test issues will be available in the after-hours trading session (4:00 p.m. to 8:00 p.m., Eastern Time (ET)). **NASDAQ strongly recommends that all impacted customers participate in this testing.**

Testing will be conducted on the following schedule:

- Day 1 (Thursday, February 1st) — NASDAQ-listed test symbols released as IPOs
 - 4:05 p.m., ET — quoting begins
 - 4:20 p.m., ET — trading begins
- Days 2-11 (Friday, February 2nd through Friday, February 16th) — NASDAQ-listed test symbols placed in a halted state until after-hours trading session
 - 7:00 a.m., ET — test symbols placed in a halted state; all directory messages and batches will contain the halt information
 - 4:05 p.m., ET — quoting begins
 - 4:10 p.m., ET — trading begins

What will be available for testing?

NASDAQ-Listed Test Stocks*:

- Z (NASDAQ Global Select MarketSM)
- ZX (NASDAQ Global MarketSM)
- ZZX (NASDAQ Capital Market[®])

Please note that these test symbols are subject to change at any time. Updates to this list will be communicated via Head Trader Alerts and will be available on the [NASDAQ Stock Symbol System Plan page](#) of the NASDAQ Trader[®] website.

*Updated February 1, 2007

Connectivity Protocols:

- NASDAQ Workstation[®]
- NASDAQ FIX
- CTCI
- QIX
- OUCH
- INET FIX
- RASHport
- Brut FIX
- Drop copies

Data Feeds:

- SIP Feeds (UTDFSM and UQDFSM)
- TotalView[®]
- TotalView-AggregatedSM
- All TotalView-ITCH feeds (3.0, 2.0a, 2.0 and 1.0)
- NQDSSM
- NOISSM
- NOIViewSM

Where can I get additional information?

- Refer to the [NASDAQ Stock Symbol System Plan page](#) on the NASDAQ Trader website for additional information and updates.
- Contact the [NASDAQ Symbology Team](#).
- Contact [NASDAQ Market Sales](#) at 800.846.0477.
- Contact [NASDAQ Market Data Distribution](#) at 301.978.5307.

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