NASDAQ Encourages Customer Readiness for Changes to the Stock Symbol System Plan

NASDAQ®

Please Route To: Head Traders; Technical Contacts; Compliance Officers; Vendor Technical Contacts

What you need to know:

- Since February 20, 2007, NASDAQ has had the ability to accept and distribute NASDAQ-listed issues with less than 4-characters. All customers should have completed their coding and testing efforts to ensure their readiness to support 1-, 2- and 3-character NASDAQ-listed issues.
- As announced in Head Trader Alert #2007-034, NASDAQ is offering 1-, 2- and 3-character NASDAQ-listed test issues in production in all trading sessions through March 30, 2007.
- In addition, in response to customer requests, NASDAQ has posted Guidance on the Application of ComStock Suffixes and has updated the other resources on the NASDAQ Stock Symbol System Plan page of the NASDAQ Trader website.

Whom you should contact: NASDAQ Symbology Team

What is the stock symbol system plan update?

Since February 20, 2007, NASDAQ® has had the ability to accept and distribute NASDAQ-listed issues with less than 4-characters. All customers should have completed their coding and testing efforts to ensure their readiness to support 1-, 2- and 3-character NASDAQ-listed issues.

As announced in Head Trader Alert #2007-034, NASDAQ is offering 1-, 2- and 3-character NASDAQ-listed test issues in production in all trading sessions through March 30, 2007.

When will NASDAQ convert the fifth character modifiers to the ComStock suffix Symbology?

NASDAQ plans to convert all NASDAQ-listed issues with a fifth-character modifier to the Comstock suffix symbology the weekend of June 2, 2007. In response to customer requests, NASDAQ has posted Guidance on the Application of ComStock Suffixes and has updated the other resources on the NASDAQ Stock Symbol System Plan page of the NASDAQ Trader® website.

When will testing be available for test securities using the ComStock suffix Symbology?

NASDAQ will offer testing opportunities for this conversion in the second quarter of 2007. Additional information will be communicated as it becomes available.

Where can I get additional information?

- Refer to the updated Frequently Asked Questions (FAQs) on the NASDAQ Stock Symbol System Plan page of the NASDAQ Trader website.
- Contact the NASDAQ Symbology Team.
- Contact NASDAQ Market Sales at 800.846.0477.
- Contact NASDAQ Market Data Distribution at 301.978.5307.

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