

NASDAQ Announces Non-NASDAQ Listed Securities Being Added to Opening and Closing Crosses; Starting July 2, 2007



UPDATED: June 19, 2007

Please Route To: Head Traders; Technical Contacts; Compliance Officers; Buy-Side Contacts

What you need to know:

- As announced in [Head Trader Alert #2007-095](#), NASDAQ plans to begin adding NYSE-, Amex- and regional-listed securities to the NASDAQ Opening and Closing Crosses, effective July 2, 2007.
- NASDAQ plans to roll out **SPY on July 2nd**, and will continue with six more securities on July 9th.
- NASDAQ is currently offering testing in the NASDAQ Testing Facility (NTF) and will conduct a final Saturday User Acceptance Test (UATs) on June 30, 2007. Firms can also use test stock **ZVV** in production

Who you should contact: [NASDAQ Market Sales](#) at 800.846.0477

What is changing?

As announced in [Head Trader Alert #2007-095](#), effective July 2, 2007, NASDAQ[®] plans to add NYSE-, Amex and regional-listed securities (non-NASDAQ listed securities) to the [NASDAQ Opening and Closing CrossesSM](#).

NASDAQ will roll out SPY on July 2nd, and will continue with the following six securities on July 9th:

- DIA
- IWM
- MDY
- OIH
- SMH
- XLE

The complete roll-out schedule will be announced as soon as it becomes available.

Will NASDAQ offer testing?

Firms can test in three ways:

- NASDAQ is currently offering testing in the [NTF](#).
- Firms can use test stock **ZVV** in production. Please note: ZVV works in production only in NASDAQ's production system and information is disseminated on NASDAQ data feeds*.
- NASDAQ has also scheduled a Saturday UAT on June 30, 2007 to allow firms test this new functionality.

How can I participate in the June 30th test?

To participate in the June 30th test, please register by **June 28th**, in one of the following ways:

1. Email your name and phone number to OpsOa@nasdaq.com.
2. Call the NASDAQ Testing Facility (NTF) at 212.231.5180.

NASDAQ suggests that testing participants concentrate on **SPY** and **AMD** in the crosses. However, NASDAQ will not reject orders placed in other non-NASDAQ listed securities for the crosses.

Who can I call during the test for assistance?

Firms may call the following support numbers for assistance, as needed:

- NASDAQ Market Operations at 212.231.5100
- NASDAQ Technical Support at 212.231.5180

Where can I get additional information?

- Refer to [Head Trader Alert #2007-095](#).
- Refer to the [Opening and Closing Crosses page](#) on the NASDAQ Trader[®] website.
- Contact [NASDAQ Market Sales](#) at 800.846.0477.

* SIAC does not support data dissemination of ZVV.

NASDAQ is the largest U.S. electronic equities exchange. With approximately 3,200 companies, it lists more companies and, on average, trades more shares per day than any other U.S. electronic market. It is home to companies that are leaders across all areas of business including technology, retail, communications, financial services, transportation, media and biotechnology. NASDAQ is the primary market for trading NASDAQ-listed stocks as well as a leading liquidity pool for trading NYSE-listed stocks. For more information about NASDAQ, visit the NASDAQ Trader website at www.NASDAQTrader.com.

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