Reminder: NASDAQ To Add Six Non-NASDAQ Listed Stocks to Opening and Closing Crosses on Monday, July 9th

NASDAQ

Please Route To: Head Traders; Technical Contacts; Compliance Officers; NASDAQ Vendor Technical Contacts

What you need to know:

- As announced in Head Trader Alert #2007-095, NASDAQ began adding NYSE-, Amex- and regional-listed securities to the NASDAQ Opening and Closing Crosses, effective Monday, July 2, 2007, with the roll-out of SPY.
- NASDAQ will continue the roll out **on Monday, July 9th with DIA, IWM, MDY, OIH, SMH, XLE.**
- NASDAQ continues to offer testing in the NASDAQ Testing Facility (NTF) and in production with test stock **ZVV**.

Who you should contact: NASDAQ Market Sales at 800.846.0477

What is the next roll-out phase for non-NASDAQ listed stocks in the Opening and Closing Crosses?

As announced in Head Trader Alert #2007-122, NASDAQ® added SPY to the Opening and Closing Crosses this past Monday, July 2nd, and will continue the roll out with the following six securities on Monday, July 9th:

- DIA
- IWM
- MDY
- OIH
- SMH
- XLE

The complete roll-out schedule will be announced as soon as it becomes available.

Is NASDAQ still offering testing?

Yes, firms can test in two ways:

- NASDAQ is currently offering testing in the NTF.
- Firms can use test stock **ZVV** in production. Please note: ZVV works in production only in NASDAQ's production system and information is only disseminated on NASDAQ data feeds*.

What pricing applies to the Opening and Closing Crosses for non-NASDAQ listed stocks?

The current fee schedule for Opening and Closing Crosses in NASDAQ-listed stocks also applies to activity in the Opening and Closing Crosses in non-NASDAQ listed stocks. Refer to NASDAQ's pricing page on the NASDAQ Trader website for complete pricing information.

Where can I get additional information?

- Refer to Head Trader Alert #2007-095 or Head Trader Alert #2007-122.
- Refer to the Opening and Closing Crosses page on the NASDAQ Trader® website.
- Contact NASDAQ Market Sales at 800.846.0477.

* SIAC does not support data dissemination of ZVV.

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