

Distributor Information:

Date of Application:	
Firm ("Distributor") Name:	
Name of System: (complete System Application for each new system*)	
Primary Contact:	

Distributor receives Information from Nasdaq, and attests to the following regarding the reporting of usage as required under Nasdaq Requirements, as defined in Section 1.1 of the Global Data Agreement.

Use Case:

<p>I have reviewed Nasdaq Basic Internal Professional usage as it relates to the Nasdaq Basic Enterprise License set forth at Equity 7, Section 147(b)(5) on behalf of _____ (Firm Name) for the period starting on _____ (Start of Review Period) and _____ (Date of Declaration) ("Relevant Period"). Based on this review, I am able to attest Nasdaq Basic Internal Professional usage has not exceeded 4500 Subscribers during the Relevant Period and that _____ (Firm Name) expects usage of under 4500 Subscribers to continue for the foreseeable future. _____ (Firm Name) will contact Nasdaq and begin to report monthly if and when such usage begins to meet or exceed the 4500 Subscriber threshold.</p>

Signatory has personal knowledge about the use case described above, and the description is an accurate representation of the Approved Use Case.

Signature:	
Printed Name:	
Title:	
Firm Name:	
Date:	