Instructions for Mergers, Acquisitions and Name Changes

Mergers and Acquisitions
A firm that has or will change its corporate name due to a merger or acquisition may request that NASDAQ OMX change its name on their invoice and on other documents by either:

- Signing new market data agreements with NASDAQ OMX, executed under the new corporate name, or
- Submitting a written notification of merger or acquisition activity and name change to NASDAQ OMX. All requests must include current account numbers.

Please note that if any agreement is being assigned either by affirmative action or by operation of law as a result of the merger or acquisition, please see the relevant Agreement with NASDAQ OMX as NASDAQ OMX’s prior consent to the assignment may be required.

Name Changes Not Due to Merger or Acquisition
Other name change requests may be accommodated without the execution of new agreements. To request a name change in this instance, submit a letter detailing the name change and the general reason for the change (e.g. marketing purposes). The letter must be on official corporate letterhead reflecting the name of the new organization.

Submission of Notification
Please submit new agreements, notification and/or consent request to:

The NASDAQ OMX Group, Inc.
Global Data Products
Four Irvington Centre
805 King Farm Boulevard
Rockville, MD 20850
Fax: 301.978.5295
Email: dataops@nasdaqomx.com

Reference
The following NASDAQ OMX Agreements and relative sections reference name changes:

NASDAQ OMX Global Data Agreement
- Section 8. Notices; Notification of Changes

NASDAQ Vendor Agreement (UTP data)
- Section 10.01. Assignment; Third-Party Rights
- Section 10.15. Notification

Questions
For any questions about the NASDAQ OMX market data administrative requirements for name changes, mergers or acquisitions, please contact Global Data Products Operations at 301.978.5307.

Disclaimer: This page is effective as of the date posted.