

FINRA Services Port

Request Form

Please email the completed form to subscriber@nasdaq.com or fax to +1 212 231 5426

Order Type

Add
 Removal
 Upgrade

Connectivity

Which service provider will you be using?
 Co-Lo
 CPC
 Extranet

Quoting & Trade Reporting

Select one per desired port

TRACE trade reporting	ADF trade reporting, quoting, order responses	Current TRF reporting using ACT	ORF reporting using new platform	OTC Quoting of Bulletin Board
<input type="checkbox"/> CTCI CA/SP/TS <input type="checkbox"/> FIX 4.4 SP <input type="checkbox"/> FIX 4.4 CA <input type="checkbox"/> FIX 4.4 TS	<input type="checkbox"/> FIX 4.4 Trades <input type="checkbox"/> FIX 4.4 Quotes <input type="checkbox"/> FIX 4.4 Orders	<input type="checkbox"/> CTCI ACT (TRF) FINRA/Nasdaq TRF Carteret <input type="checkbox"/> FIX 4.2 ACT: TRF Carteret (Production) <input type="checkbox"/> FIX 4.2 ACT: TRF Chicago (DR) FINRA/Nasdaq TRF Chicago <input type="checkbox"/> FIX 4.2 ACT: TRF Chicago (Production) <input type="checkbox"/> FIX 4.2 ACT: TRF Carteret (DR)	<input type="checkbox"/> CTCI (ORF) <input type="checkbox"/> FIX4.4 (ORF)	<input type="checkbox"/> QIX OTCBB

How many sessions would you like to request? (Default: 1)	
Do you want to copy or upgrade an existing account? If yes, please provide the session to copy and the details below can be omitted.	
Has this version of your front-end application been certified?	<input type="checkbox"/> Yes <input type="checkbox"/> No
What type of connection do you want to order?	<input type="checkbox"/> Production <input type="checkbox"/> Test

Trade Reporting: Drop Copy

FIX 4.2 ACT (TRF)
 FIX 4.4 SP
 FIX 4.4 CA
 FIX 4.4 TS
 FIX 4.4 ORF
 FIX 4.4 ADF Trades

Please provide the MPID(s) you would like to drop.

FIX Only: Please provide the Sendercomp(s) you would like to drop.

Please select the messages you wish to receive on the drop session. (Check all that apply)

TREN: Acknowledgements
 TRAL: Alleges
 TCLK: Locks
 TCBK: Breaks
 TCAN: Cancels
 TCER: Errors
 TCST: Sizable Trades
 TCBT: Blockbuster Traders
 TCP: Clearing Updates
 GVUD: Give up Notifications
 CRSP: Clearing Notifications

For CTCI Drop Copy, please contact Nasdaq Subscriber Services +1 212 231 5180

Removal Requests

Please provide the product and logon(s) for disconnect below: (Please select only one)

QIX OTCBB
 CTCI CA/SP/TS
 CTCI ACT (TRF)
 CTCI (ORF)
 FIX 4.4 SP
 FIX 4.4 ADF Quotes
 FIX 4.2 ACT (TRF)
 FIX4.4 (ORF)
 FIX 4.4 CA
 FIX 4.4 ADF Orders
 FIX 4.4 ADF Trades
 FIX 4.4 TS

Contact Information

	Contact	Phone	Email
Order			
Technical			

Billing Address

Street			
Suite / Room #		City / State / Zip	
Phone		Email	

Additional Comments or Request Notes

Order Authorization

Firm Name		MPID/Firm ID	
Authorized Contact: (Please Print)		Date	
Signature			

All services and products requested on this form are governed by the terms in the Nasdaq U.S. Services agreement http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsTrading/nasdaq_access_agreement.pdf and the Nasdaq Transaction Services Policies <http://www.nasdaqtrader.com/Content/AdministrationSupport/AgreementsTrading/NTSPolicies.pdf> document. If you do not receive a written confirmation from Nasdaq within 3 business days that your request has been received and processed, please contact Nasdaq Subscriber Services at 212 231 5180 or subscriber@nasdaq.com.

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