

NASDAQ RULE 4630 - MARKET MAKER DISCLOSURE REPORT FOR COMMODITY-BASED TRUST SHARES

Pursuant to NASDAQ Rule 4630, a member acting as a registered market maker in Commodity-Based Trust Shares must file and keep current a list identifying all accounts for trading in an underlying commodity, related commodity futures or options on commodity futures, or any other related commodity derivatives, that the market maker may have or which it may exercise investment discretion. No market maker shall trade in an underlying commodity, related commodity futures or options on commodity futures, or any other related commodity derivatives, in an account in which a market maker, directly or indirectly, controls trading activities, or has a direct interest in the profits or losses thereof, that has not been reported as required by this rule.

Filings should be sent via facsimile. Questions regarding filing should be addressed to NASD at the contact information listed below.

**Return To: Disclosure Report – Commodity Based Shares
 NASD
 Market Regulation Dept.
 9509 Key West Ave
 Rockville, MD 20850**

**Tel: 240.386.5102
 Fax: 240.386.5135**

NAME OF FIRM:		MPID(s):
PREPARED BY :		PHONE NUMBER:
REPORT DATE:		
Account Information		
Account Number	Account Title	Affiliate Identification (If Applicable)

