

PHLX XL Port Request Form



Please email the completed form to Subscriber@nasdaqomx.com or fax to +1 212 231 5426

This section must be completed by an Officer of the PHLX Member Firm and/or Associated Member Firm (Please print).

PHLX Member Firm: _____

PHLX Billing Account Number: _____

Service Bureau ⁽¹⁾: _____

Request Type

- Add Removal Upgrade (Please provide ports in additional comments section)

⁽²⁾ Sponsored Access – Provide the name of the party that is being sponsored by your firm

Connectivity

Which service provider will you be using?

- Co-Lo Direct Connect Extranet 10G

Products

- SQF SQF Purge CTI FIX

What type of connection do you want to order?

- Production NTF (Test)

Would you like to request a Chicago Backup/DR port?

- Yes No

How many sessions would you like to request? (Default: 1)

SQF & CTI What is your badge & suffix?

CTI Only:

- Trades Only Extended Cancels

CTI Only: What are your Clearing entitlements? (Clearing or Self Clearing firms only)

CTI Only: What are your Badge entitlements?

CTI Only: What are your FIX Firm entitlements?

SQF Only: Enable notification messages?

- Yes No

- Symbols Auctions Trading Actions Purges Rapid Fire Spread Multi

- Quote Executions Leg Executions Underlying Permission Complex Leg Order Exposure ReEntry

SQF Only: Enable COD Enhanced?

- Yes No

Please complete this section when requesting FIX sessions.

FIX Only: What mnemonic will be added to the new session?

FIX Only: What is the OCC clearing number to be configured?

FIX Only: If adding mnemonic, please provide the port for the mnemonic to be added.

FIX Only: What is the floor broker name/number?

FIX Only: What maximum contract order size should the port allow? (Default 50,000)

FIX Only: End of day message (Nothing Done)

- All Day GTC

FIX Only: Order reintroduction

- Yes No

FIX Only: Do you want routing capabilities?

- Yes No

If routing is yes, please select the default routing strategy

- SRCH FIND DNR (Do Not Route)

Removal Requests

Please provide the product and logon(s) for disconnect below:

_____	_____
_____	_____
_____	_____

Contact Information

Order Contact _____	Phone: _____	Email: _____
Business Contact _____	Phone: _____	Email: _____
Trader Contact _____	Phone: _____	Email: _____
Technical Contact _____	Phone: _____	Email: _____

Additional Comments or Request Notes

Order Authorization

Firm Name: _____	PHLX Account Number: _____
Authorized Contact:(Please Print) _____	
Signature: _____	Date: _____

ONLY A PHLX MEMBER FIRM OR ASSOCIATE MEMBER FIRM MAY REQUEST ACCESS TO PHLX XL. THIS REQUEST MUST BE SIGNED BY AN OFFICER OF THE PHLX MEMBER FIRM AND THE ORIGINAL DELIVERED TO THE PHLX. IT IS ALSO THE MEMBER FIRM'S RESPONSIBILITY TO NOTIFY THE PHLX IF ANY INFORMATION PROVIDED BELOW CHANGES.

(1)All firms accessing via a Service Bureau are required to sign the Service Bureau Agreement (<http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsTrading/nwiiagreement.pdf>).

All services and products requested on this form are governed by the terms in the NASDAQ U.S. Services agreement [NASDAQ U.S. Services agreement](#) and the [NASDAQ Transaction Services Policies](#) document. If you do not receive a written confirmation from NASDAQ within 3 business days that your request has been received and processed, please contact NASDAQ Subscriber Services at 212 231 5180 or subscriber@nasdaq.com.

(2)NASDAQ PHLX LLC Rule 1094 and The NASDAQ Stock Market LLC and NASDAQ BX, Inc. Rules 4615 allow market participants to enter into sponsored access relationships. Sponsored Access is defined in these rules as an arrangement whereby a member, member organization or Participant permits its customers to enter orders into the exchange's trading system that bypass the member's, member organization's or Participant's trading system and are routed directly to the exchange, including routing through a service bureau or other third party technology provider. If you have a sponsored access arrangement, you are required to disclose that relationship by sending an email to membership@nasdaq.com. Please indicate the party that is being sponsored by your firm and on which market. This information is utilized for both regulatory and operational information in granting access to these markets.

Please be advised that beginning on January 2, 2013, all new port requests from subscribers must be received no later than 3:00PM EST to guarantee processing for the next trading day. In the event that NASDAQ receives a request after 3:00PM EST, every effort will be made by Subscriber Services to process the request for the next day, but delivery will not be guaranteed. In all cases, Subscriber Services will respond promptly with an estimated date of completion.

INTERNAL USE ONLY:

New Logical Connection:	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Retain Production Setup:	<input type="checkbox"/> Yes	<input type="checkbox"/> No*	Firm Mnemonic /	Port: _____
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