

NASDAQ PSX OPERATING OUT OF CHICAGO: OCTOBER 26 - 30, 2020

Nasdaq will operate the Nasdaq PSX (PSX) exchange out of the Chicago data center as the primary matching engine during the week of **October 26 through October 30**. During this time, all PSX features and functionality will be available. PSX operations will return to the Carteret data center on **Monday**, **November 2, 2020**. Operating PSX out of the Chicago site will allow firms to gain additional confidence that they can react quickly in the event that Nasdaq decides to move the operation of all its market centers to its Chicago data center.

FREQUENTLY ASKED QUESTIONS

Q: Will PSX offer testing opportunities for customers to conduct connectivity, order entry and market data testing in Chicago?

A: Yes. The Chicago connections are available for connectivity testing and validation any day of the week. On Saturday, October 24, 2020, PSX will be available for testing in Chicago as part of its annual Business Continuity and Disaster Recovery Plan test.

Q: If customers do not have order entry sessions in Chicago, can they use their sessions in Carteret to send order messages to Chicago?

A: Yes. Customers can continue to use their Carteret sessions/IPs to send order messages to Chicago.

Q: What order entry protocols will be available in Chicago?

A: All order entry protocols will be available in Chicago. However, it is recommended that customers using OUCH or Flite connect to their sessions in Chicago, while for RASH protocols (i.e. FIX, RASHport, QIX), it is recommended that customers connect in Carteret as the RASH system will remain in Carteret the week of October 26.

Q: If customers have OUCH sessions in Carteret, will those same sessions be available in Chicago?

A: If customers have ordered Chicago sessions, the session information for Chicago will be identical to the Carteret session (i.e. login, port settings). However, customers must target different IP addresses for Chicago.

Q: Can Chicago production IPs be accessed via either Chicago or Carteret handoffs?

A: No. The Chicago production IP is only accessible via your Chicago handoff. Connections will not be redirected from Carteret to Chicago.

Q: Can customers use their Carteret handoffs or cross-connects to access Chicago market data and/or order entry?

A: No. Access to Chicago will not be provided via Carteret handoffs or cross-connects.



Q: Will customers have to use Chicago multi-cast channels to receive PSX market data?

A: No. There are no multi-cast channel changes; those will remain the same. For a consolidated list of IP addresses for the UDP/IP services PSX offers, please see here.

Q: Are there any TCP retransmission request changes?

A: No. Connections to Carteret IPs and Chicago IPs will remain the same.