

**NASDAQ OMX FUTURES EXCHANGE, INC. (“NFX”)
FEE SCHEDULE**

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PREFACE

For purposes of assessing fees, the following references should serve as guidance.

The term "**Customer**" applies to any transaction that is identified by a member or member organization with a Customer Type Indicator ("CTI") code 4.

The term "**Liquidity Provider**" means a member or member organization, approved by the Exchange to undertake rights and obligations to facilitate an orderly and liquid market, as a Liquidity Provider, and provide bids and offers through orders for its own account rather than quotes pursuant to Rule E10. The term "Liquidity Provider" applies to any transaction which occurs in an account assigned by the Exchange for the purpose of transacting orders as a Liquidity Provider.

The term "**Market Maker**" means a member or member organization, approved by the Exchange to undertake rights and obligations to facilitate an orderly and liquid market, as a Market Maker, and quote continuous two-sided markets for its own account pursuant to Rule E10. The term "Market Maker" applies to any transaction which occurs in an account assigned by the Exchange for the purpose of transacting orders as a Market Maker.

The term "**Firm**" applies to any transaction that is identified by a member or member organization with a CTI code of 1, 2 or 3 and not in an account designated as market maker or liquidity provider.

Billing Disputes:

All billing disputes must be submitted to the exchange in writing and must be accompanied by supporting documentation. All disputes must be submitted no later than sixty (60) days after receipt of a billing invoice.

Section I – Transaction Charges

A. World Currency Futures Contract Transaction Charges:

- a. Customer: \$0.40 per contract side
- b. Firm: \$0.40 per contract side
- c. Market Maker: \$0.00 per contract side
- d. Liquidity Provider: \$0.40 per contract side

B. XAU/USD Spot Gold Futures Transaction Charges:

- a. Customer: \$0.18 per contract side
- b. Firm: \$0.18 per contract side
- c. Market Maker: \$0.18 per contract side
- d. Liquidity Provider: \$0.18 per contract side

Section II – Membership-Related Fees

A. Application and Seat Transfer Fees:

- a. Application Fee: \$350.00
- b. Seat Transfer Fee: \$250.00

B. Membership Fee:

\$100 per annum to be effective on the first of July each year

C. Regulatory Fee

\$500 per quarter*

* This Regulatory Fee would be waived for NFX members who incur a minimum of \$500 in transaction fees in a billing quarter. Market Makers and Liquidity Providers are exempt from the Regulatory Fee. This fee will be invoiced at the beginning of the applicable quarter.