

FREQUENTLY ASKED QUESTIONS: THE NASDAQ GLOBAL INDEX FAMILY

Q: What is the NASDAQ Global Index Family?

A: The comprehensive NASDAQ Global Index FamilySM, reflecting more than 98% of the global equity investable marketplace, will result in over 25,000 indexes. The family uses NASDAQ OMX's transparent and rules-based selection criteria and consists of over 9,000 securities broken down by market segment, region, country, size and sector. The indexes cover 45 individual countries within Developed and Emerging Markets, and facilitate a multitude of tracking, trading and investing opportunities.

The **NASDAQ Global Index (NQGI) benchmark** provides the broadest exposure for more than 98% of investable large-, mid- and small-cap securities. Sub-indexes offer coverage of countries, regions, sectors and capitalization size across the 45 countries. Our free float-adjusted, market cap-weighted methodology utilizes ICB classifications, and the indexes are calculated as Price Return, Total Return and Net Total Return in up to seven currencies.

The Global Index Family also includes the NASDAQ US All Market Index Family, a comprehensive U.S. equity benchmark covering more than 900 market capitalization-weighted, float-adjusted indexes including the flagship NASDAQ US All Market IndexSM (NQUSA).

Q: When did the family launch?

A: On **Monday, December 3, 2012**, NASDAQ OMX introduced approximately 3,000 indexes calculated in USD, covering 9,000 securities, to go along with the previously launched 900 indexes in the US All Market family from mid-2011. The remaining more than 20,000 indexes, across currencies, are slated to roll out on July 15, 2013.

Q: Which emerging and developed countries does the family cover?

A: The **NASDAQ Developed Markets Index (NQDM)** and the **NASDAQ Emerging Markets Index (NQEM)** consist of indexes from 24 and 21 designated countries, respectively. These benchmarks are individually broken down into large-, mid-, large-mid and small-cap indexes.



Q: What criteria are used to determine which securities are eligible for inclusion?

A: To be eligible for inclusion, an Index Security¹ must meet the following criteria, per the methodology:

- The security must be listed on an Index Eligible Global Stock Exchange
- The security must be an eligible security type²
- The security must have a minimum worldwide market capitalization of USD 150 million
- The security must have a minimum three-month average daily dollar trading volume of USD 100 thousand
- The security must have a minimum free float of 20%³
- The security must have “seasoned” for at least three months on an Index Eligible Global Stock Exchange
- The security must be within a country classified as Developed or Emerging Markets
- The security may not be issued by an issuer currently in bankruptcy proceedings

Q: What types of indexes are available?

A: The NASDAQ Global Index Family is calculated as Price Return, Total Return and Net Total Return. In addition, each index is calculated in six currencies (AUD, CAD, EUR, GBP, JPY, USD). Select country indexes also are calculated in the local currency.

All indexes are calculated with the new, state-of-the-art Global Index Calculator powered by NASDAQ OMX INET technology – the world's fastest trading system technology.

Q: How will the index values be disseminated?

A: Data recipients will receive start-of-day, intraday and end-of-day index information from the proprietary Global Index Data Service (GIDS 2.0) data feed.

Q: How will the components and weightings data be made available?

A: NASDAQ OMX's premier delivery service, NASDAQ OMX Global Index Watch (GIW), is the only direct source for components and weightings data.

NASDAQ OMX offers a Global entitlement on GIW to support these indexes using a secure website interface as well as the same Unified File Format (UFF) web service we launched in 2011 to streamline development for firms.

Additionally, the expanded Global Family is offered via our index data distribution partners. Please speak to your provider to ensure you have access to this data and feel free to send us your request so that we can help facilitate it.

Q: Will historical data be available?

A: Historical index levels will be made available for each index dating back to 2001. Historical weights and components data will also be made available for select indexes also dating back to 2001.

¹ Index Securities are defined as securities meeting all eligibility requirements for the index.

² Security types generally eligible for the Index include common stocks, ordinary shares, depositary receipts, shares of beneficial interest of REITs and preference shares.

³ If a security has a free float of less than 20% but greater than 5%, it will be eligible for index inclusion if its free float adjusted market capitalization weight within its country is greater than 5%.

Q: Where can I find the GIW Web Service Specification document?

A: For more information about the secure web service offering supporting the Global Indexes, [click here](#).

Q: How much will the data cost?

A: For more information about our competitively priced offering, please contact the [Global Data Sales](#) team at +1 301 978 5307 or +45 33 93 33 66.

Q: How can I order the NASDAQ Global Index Family data?

A: The family is offered in several flexible entitlements:

- 1.) NASDAQ Global Index Family
- 2.) Segments:
 - Developed Markets or Emerging Markets
- 3.) Regions:
 - Americas
 - Europe
 - Asia-Pacific, Middle East, Africa

For new NASDAQ OMX customers, the following forms must be completed:

- [NASDAQ OMX Global Data Agreement](#)
- [System Application](#)
- [New Data Feed Request Form \(print copy\)](#) or via the [Online Data Feed Request webpage](#)

For existing NASDAQ OMX customers, the following form must be completed:

- [New Data Feed Request Form \(print copy\)](#) or via the [Online Data Feed Request webpage](#)

For access via a vendor-controlled terminal, the following form must be completed:

- [Global Index Watch Approval Request Form](#)

Q: Where can I find additional information?

- For GIW subscription information, contact [NASDAQ OMX Global Data Sales](#) at +1 301 978 5307 or +45 33 93 33 66.
- For product-related inquiries, contact [NASDAQ OMX Global Data Products](#) at +1 301 978 5307 or +45 3393 3366.
- For index licensing opportunities, contact [NASDAQ Licensing](#) at +1 301 978 8050.

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