



Nasdaq Fund Network (NFN)

Website Logon Request Form for Issuers, Administrators and Pricing Agents

Please complete and return this form to NFN Operations (Email: NFNOps@nasdaq.com).

Firm Information	
Request Type	<input type="checkbox"/> Add Firm <input type="checkbox"/> Add / Update User Logons <input type="checkbox"/> Update Firm Information / Profile
Firm Name	
Nasdaq Market Participant ID (if available)	
NFN Firm Description (Check all that apply)	<input type="checkbox"/> Instrument Issuer (Fund family, UIT sponsor, etc.) <input type="checkbox"/> Third Party Service Provider (NFN Pricing Agent, Fund Administrator / Distributor)
Mailing Address	
Website	
Request Date	
Issuer Profile	
How do you intend to report data into NFN system?	Listing Level Data <input type="checkbox"/> Self-Report (Issuer to submit security applications directly to NFN) <input type="checkbox"/> Report via Third Party Service Provider
	Valuation Data <input type="checkbox"/> Self-Report (Issuer to submit NAVs directly to NFN) <input type="checkbox"/> Report via Third Party Service Provider
Third Party Service Provider Name(s)	
User Logon Request #1	
Request Type	<input type="checkbox"/> Add NFN Web User Logon <input type="checkbox"/> Delete NFN Web User Logon <input type="checkbox"/> Modify NFN Web User Logon
Contact Name	
NFN User ID (if available)	
Department	
Contact Phone Number	
Contact Email Address	
NFN Web Access Level	<input type="checkbox"/> NFN Listing / Registration Module (HP07) – This access level allows the contact to create new NFN listings, update NFN listing attributes (e.g., issue name, symbol, CUSIP) and post financial documentation for existing NFN listings. <input type="checkbox"/> NFN Valuation Module (HP11) – This access level allows the contact to submit daily valuation (e.g., NAVs and yields) and distribution (e.g., dividends, interest, capital gains) for existing NFN securities. Please note that there is a monthly fee of \$100 per user for this access level. <input type="checkbox"/> NFN Valuation – FTP Server Add-On Option – This access level allows the firm to automate posting of the NFN valuation data files. Please note that there is a monthly fee of \$100 per user for this access level.
User Logon Request #2	
Request Type	<input type="checkbox"/> Add User Logon <input type="checkbox"/> Delete User Logon <input type="checkbox"/> Modify User Logon

Contact Name	
NFN User ID (if available)	
Department	
Contact Phone Number	
Contact Email Address	
NFN Web Access Level	<input type="checkbox"/> NFN Registration Module (HP07) <input type="checkbox"/> NFN Valuation Module (HP11) <input type="checkbox"/> NFN Valuation – FTP Server Add-On Option

User Logon Request #3

Request Type	<input type="checkbox"/> Add User Logon <input type="checkbox"/> Delete User Logon	<input type="checkbox"/> Modify User Logon
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Contact Name	
NFN User ID (if available)	
Department	
Contact Phone Number	
Contact Email Address	
NFN Web Access Level	<input type="checkbox"/> NFN Listing / Registration Module (HP07) <input type="checkbox"/> NFN Valuation Module (HP11) <input type="checkbox"/> NFN Valuation – FTP Server Add-On Option

User Logon Request #4

Request Type	<input type="checkbox"/> Add User Logon <input type="checkbox"/> Delete User Logon	<input type="checkbox"/> Modify User Logon
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Contact Name	
NFN User ID (if available)	
Department	
Contact Phone Number	
Contact Email Address	
NFN Web Access Level	<input type="checkbox"/> NFN Listing / Registration Module (HP07) <input type="checkbox"/> NFN Valuation Module (HP11) <input type="checkbox"/> NFN Valuation – FTP Server Add-On Option

Notes to NFN Operations / Trading Services

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Certification Statement

I, acting as a Corporate Officer of the Organization listed above, hereby certify that the contacts listed on this NFN User Logon Request form are authorized to submit NFN listing and/or valuation data.

I also affirm that my Organization understands and complies with the terms of the [NFN Access Agreement](#).

Corporate Officer Signature	
Officer's Name	
Officer's Title	
Officer's Phone Number and/or Email Address	