



## Nasdaq Fund Network (NFN)

### Website Logon Request Form for Issuers, Administrators and Pricing Agents

Please complete and return this form to NFN Operations (Email: [NFNOps@nasdaq.com](mailto:NFNOps@nasdaq.com)).

| Firm Information   |   |
|--|---|
| <b>Request Type</b>                                      | <input type="checkbox"/> Add Firm<br><input type="checkbox"/> Add / Update User Logons <input type="checkbox"/> Update Firm Information / Profile   |
| <b>Firm Name</b>   |   |
| <b>Nasdaq Market Participant ID (if available)</b>       |   |
| <b>NFN Firm Description (Check all that apply)</b>       | <input type="checkbox"/> Instrument Issuer (Fund family, UIT sponsor, etc.) <input type="checkbox"/> Third Party Service Provider (NFN Pricing Agent, Fund Administrator / Distributor)   |
| <b>Mailing Address</b>                                   |   |
| <b>Website</b>   |   |
| <b>Request Date</b>                                      |   |
| Issuer Profile   |   |
| <b>How do you intend to report data into NFN system?</b> | <b>Listing Level Data</b><br><input type="checkbox"/> Self-Report (Issuer to submit security applications directly to NFN) <input type="checkbox"/> Report via Third Party Service Provider   |
|  | <b>Valuation Data</b><br><input type="checkbox"/> Self-Report (Issuer to submit NAVs directly to NFN) <input type="checkbox"/> Report via Third Party Service Provider  |
| <b>Third Party Service Provider Name(s)</b>              |   |
| User Logon Request #1                                    |   |
| <b>Request Type</b>                                      | <input type="checkbox"/> Add NFN Web User Logon<br><input type="checkbox"/> Delete NFN Web User Logon <input type="checkbox"/> Modify NFN Web User Logon  |
| <b>Contact Name</b>                                      |   |
| <b>NFN User ID (if available)</b>                        |   |
| <b>Department</b>  |   |
| <b>Contact Phone Number</b>                              |   |
| <b>Contact Email Address</b>                             |   |
| <b>NFN Web Access Level</b>                              | <input type="checkbox"/> <b>NFN Listing / Registration Module (HP07)</b> – This access level allows the contact to create new NFN listings, update NFN listing attributes (e.g., issue name, symbol, CUSIP) and post financial documentation for existing NFN listings.<br><input type="checkbox"/> <b>NFN Valuation Module (HP11)</b> – This access level allows the contact to submit daily valuation (e.g., NAVs and yields) and distribution (e.g., dividends, interest, capital gains) for existing NFN securities. Please note that there is a monthly fee of \$100 per user for this access level.<br><input type="checkbox"/> <b>NFN Valuation – FTP Server Add-On Option</b> – This access level allows the firm to automate posting of the NFN valuation data files. Please note that there is a monthly fee of \$100 per user for this access level. |
| User Logon Request #2                                    |   |
| <b>Request Type</b>                                      | <input type="checkbox"/> Add User Logon<br><input type="checkbox"/> Delete User Logon <input type="checkbox"/> Modify User Logon  |

|                                   |  |
|-----------------------------------|--|
| <b>Contact Name</b>               |  |
| <b>NFN User ID (if available)</b> |  |
| <b>Department</b>                 |  |
| <b>Contact Phone Number</b>       |  |
| <b>Contact Email Address</b>      |  |
| <b>NFN Web Access Level</b>       | <input type="checkbox"/> NFN Registration Module (HP07)<br><input type="checkbox"/> NFN Valuation Module (HP11)<br><input type="checkbox"/> NFN Valuation – FTP Server Add-On Option |

### User Logon Request #3

|                     |   |  |
|---------------------|---|--|
| <b>Request Type</b> | <input type="checkbox"/> Add User Logon<br><input type="checkbox"/> Delete User Logon | <input type="checkbox"/> Modify User Logon |
|---------------------|---|--|

|                                   |  |
|-----------------------------------|--|
| <b>Contact Name</b>               |  |
| <b>NFN User ID (if available)</b> |  |
| <b>Department</b>                 |  |
| <b>Contact Phone Number</b>       |  |
| <b>Contact Email Address</b>      |  |
| <b>NFN Web Access Level</b>       | <input type="checkbox"/> NFN Listing / Registration Module (HP07)<br><input type="checkbox"/> NFN Valuation Module (HP11)<br><input type="checkbox"/> NFN Valuation – FTP Server Add-On Option |

### User Logon Request #4

|                     |   |  |
|---------------------|---|--|
| <b>Request Type</b> | <input type="checkbox"/> Add User Logon<br><input type="checkbox"/> Delete User Logon | <input type="checkbox"/> Modify User Logon |
|---------------------|---|--|

|                                   |  |
|-----------------------------------|--|
| <b>Contact Name</b>               |  |
| <b>NFN User ID (if available)</b> |  |
| <b>Department</b>                 |  |
| <b>Contact Phone Number</b>       |  |
| <b>Contact Email Address</b>      |  |
| <b>NFN Web Access Level</b>       | <input type="checkbox"/> NFN Listing / Registration Module (HP07)<br><input type="checkbox"/> NFN Valuation Module (HP11)<br><input type="checkbox"/> NFN Valuation – FTP Server Add-On Option |

### Notes to NFN Operations / Trading Services

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### Certification Statement

I, acting as a Corporate Officer of the Organization listed above, hereby certify that the contacts listed on this NFN User Logon Request form are authorized to submit NFN listing and/or valuation data.

I also affirm that my Organization understands and complies with the terms of the [NFN Access Agreement](#).

|  |  |
|--|--|
| <b>Corporate Officer Signature</b>                 |  |
| <b>Officer's Name</b>                              |  |
| <b>Officer's Title</b>                             |  |
| <b>Officer's Phone Number and/or Email Address</b> |  |