

# BX Options Port Request Form



Please email the completed form to [Subscriber@nasdaq.com](mailto:Subscriber@nasdaq.com) or fax to 212.231.5426

## Order Type

- Add  Removal - (Please see second page)

## Connectivity

Which service provider will you be using?

- Co-Lo  CPC  Extranet

If you have selected VPN, do you require access to the Primary and Backup datacenter?  Yes  No

## Options Trading

- FIX 4.2  CTI v1.3  SQF  SQF Purge

How many sessions would you like to request? **(Default: 1)** \_\_\_\_\_

Do you want to copy an existing account? If yes, please provide the session to copy and the details below can be omitted. \_\_\_\_\_

Do you want to add your mnemonic to an existing port? If yes, please provide the session to add the mnemonic. \_\_\_\_\_

Has this version of your front-end application been certified?  Yes  No

What type of connection do you want to order?  OTF  Production

Would you like to request a Chicago Backup/DR Port?  Yes  No

**FIX Only** Do you want routing capabilities?  Yes  No

If routing is yes, please select the default routing strategy  SRCH  SEEK  DNR (Do Not Route)

**FIX Only** What mnemonic will be added to this port? \_\_\_\_\_

What clearing number will the giveup clear? \_\_\_\_\_

What is the desired max order size? **(Default: 50K)** \_\_\_\_\_

What is your source IP address? \_\_\_\_\_

**FIX Only** Convert Market to IOC  Yes  No

**FIX Only** Do you want to have all orders cancelled on disconnect? <sup>(1)</sup>  Yes  No

**SQF/CTI Only** What is your badge & suffix? \_\_\_\_\_

**SQF Only** Enable COD Functionality  Yes  No

**SQF Only** Enable Quotes post only  Yes  No

If yes to above post only:  Re-Price  Post Only Reject  Cancel order instead of Reprice

**SQF Only** Enable notification messages? Please choose message type below.  Yes  No

- Symbols  Auctions  Trading Actions  Purges  Quote Executions  Underlying Permission  Rapid Fire

**CTI Only:**

- Trades Only  Extended Cancels

**CTI Only:** What are your Clearing entitlements? \_\_\_\_\_

**CTI Only:** What are your Badge entitlements? \_\_\_\_\_

**CTI Only:** What are your FIX Firm entitlements? \_\_\_\_\_

**CTI Only:** What are the OCC clearing numbers (Clearing Firms or Self clearing firms) \_\_\_\_\_

**CTI Only:** What are your badges? \_\_\_\_\_

**CTI Only:** What are your firm mnemonics? \_\_\_\_\_

**CTI Only:** What is your source ip address? \_\_\_\_\_

**Options Trading: Drop Copy**

FIX

Please provide the mnemonic(s) or session(s) you would like to drop. \_\_\_\_\_

Please provide the Sendercomp(s) you would like to drop. \_\_\_\_\_

**FIX Only**      Timestamp (default seconds)       Milliseconds

Please select the messages you wish to receive on the drop session. (Check all that apply)

**FIX Only**       Accepts       Breaks       Cancels       Executions       Rejects

**SQF**       Accepts       Cancels       Executions

**Removal Requests**

Please provide the product and logon(s) for disconnect below:

FIX       CTI       SQF

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Contact Information**

Order Contact \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_  
Business Contact \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_  
Trader Contact \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_  
Technical Contact \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_  
Security Admin \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_

**Billing Address**

Street: \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_  
Suite / Room #: \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_  
City / State / Zip \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_

**Additional Comments or Request Notes**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Order Authorization**

Firm Name: \_\_\_\_\_ Firm Mnemonic/Badge Suffix: \_\_\_\_\_  
Authorized Contact:(Please Print) \_\_\_\_\_ Service Bureau<sup>(3)</sup> \_\_\_\_\_  
Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(1) You understand that NASDAQ OMX provides a best effort attempt to cancel orders upon disconnection if you've elected this service. In the event your connection is disconnected, you understand that you are required to call the NASDAQ OMX Options Market Operations at 215-496-1571 to check the status orders. There is no guaranty that the automatic cancel feature provided by NASDAQ OMX will be error free or operate without interruption. By signing below, you agree and acknowledge that NASDAQ OMX is not liable or responsible in any way for any orders which may fail to be cancelled using the automatic cancel feature.

(2) FIX ports are charged per logical port (Source/Mnemonic combination)

(3)All firms accessing via a Service Bureau are required to sign the Service Bureau Agreement (<http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsTrading/nwiiagreement.pdf>).

All services and products requested on this form are governed by the terms in the NASDAQ OMX U.S. Services agreement [NASDAQ OMX U.S. Services](#) agreement and the [NASDAQ OMX Transaction Services Policies](#) document. If you do not receive a written confirmation from NASDAQ OMX within 3 business days that your request has been received and processed, please contact NASDAQ OMX Subscriber Services at 212 231 5180 or [subscriber@nasdaqomx.com](mailto:subscriber@nasdaqomx.com).

**Please be advised that beginning on January 2, 2013, all new port requests from subscribers must be received no later than 3:00PM EST to guarantee processing for the next trading day. In the event that NASDAQ OMX receives a request after 3:00PM EST, every effort will be made by Subscriber Services to process the request for the next day, but delivery will not be guaranteed. In all cases, Subscriber Services will respond promptly with an estimated date of completion.**