NASDAQ OMX®

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Reg Recon is a real-time, web-based surveillance alert and report tool that assists firms with compliance.

Reg NMS Module– Real-time warning of potential trade-through trades

Reg Recon Reg NMS Reports Field Definitions	
Field Name	**Definition**
Symbol	Symbol of the issue
Side	Side of the Trade B= Buy S= Sell X= Cross
Price	Entered Price
Quantity	Entered Quantity of Trade
BBO	First protected quote traded through as per definitions of Reg Recon.
TRS	Trade Report Status U, O, D= Unmatched M, A. L, G, S = Locked In R, T= Tape C, K, I, M, B, E, X, D = Other
Modifier	Sale condition modifier on SIP Trade report. Refer to sales condition matrix.
TT Exempt	Indicates whether or not the trade was entered with the Trade Through Exempt Flag Y = Yes N = No
MM	Market Maker
OE	Order Entry
I1I2	NASDAQ User Name/Port name
Control Number	Control Number applied to Trade by ACT
Time	Reported time of transaction