



2018 – REG SCI BCP/DR INDUSTRY TEST: NASDAQ EQUITIES AND OPTIONS QUESTIONNAIRE

Participant:

Participant Contacts:

Please indicate successful test activities for each market tested.

Test Activity	Nasdaq	PSX	BX	PHLX	NOM	BXOP	ISE	GEMX	MRX
Connectivity									
Receive Market Data									
Participate in Opening		N/A	N/A						
Participate in IPO		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Participate in Closing Cross		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Submit order/quote pre-open or post-close									
Execute Orders/Quotes									
Enter Quotes									
Enter Simple Order	N/A	N/A	N/A						
Enter Complex Order	N/A	N/A	N/A		N/A	N/A		N/A	N/A
Enter Auction Order	N/A	N/A	N/A		N/A				

Any additional comments or requests for follow-ups: