



**Exhibit #1**

**CERTIFICATION**

I HEREBY CERTIFY that the following resolution was unanimously adopted by the Board of Directors of \_\_\_\_\_ at a  
(Name of Corporation)

\_\_\_\_\_ meeting held at the office of the Corporation on  
(Regular or Special)

\_\_\_\_\_ at which a quorum was present:  
(Date)

“RESOLVED: That this Corporation make application to be registered as a member corporation of the NASDAQ OMX PHLX LLC and that \_\_\_\_\_, President of this Corporation be and is hereby authorized on behalf of this Corporation to make such application.”

Attached hereto (if applicable) is an organizational chart indicating the parent Corporation and its affiliates showing the stock ownership of each organization.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Corporation this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
(Secretary)

\_\_\_\_\_  
(Witness)

(SEAL)



**Exhibit #2**

Membership Department  
NASDAQ OMX PHLX LLC  
1900 Market Street  
Philadelphia, PA 19103

Application is hereby made on behalf of \_\_\_\_\_  
(Name of Corporation)

to be registered as a member corporation of the NASDAQ OMX PHLX LLC.

This application is made pursuant to the action of the Board of Directors of the Corporation, as certified by the Secretary.

\_\_\_\_\_  
(President)

\_\_\_\_\_  
(Witness)

**Exhibit #3**

**CERTIFICATION**

I HEREBY CERTIFY that the attached is a true and correct copy of the  
Certificate of Incorporation of \_\_\_\_\_, approved by  
(Name of Corporation)  
the Department of State of \_\_\_\_\_ on \_\_\_\_\_  
(State) (Date)  
together with all amendments thereto.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of  
the Corporation this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
(Secretary)

\_\_\_\_\_  
(Witness)

(SEAL)

**Exhibit #4**

**CERTIFICATION**

I HEREBY CERTIFY that the attached is a true and correct copy of the Articles of Incorporation/By-Laws of \_\_\_\_\_, approved by the  
(Name of Corporation)

Department of State of \_\_\_\_\_ on \_\_\_\_\_ together  
(State) (Date)

with all amendments thereto.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Corporation this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
(Secretary)

\_\_\_\_\_  
(Witness)

(SEAL)

**Exhibit #5**

**CERTIFICATION**

I HEREBY CERTIFY that the following resolution was unanimously adopted by the Board of Directors of \_\_\_\_\_ at a \_\_\_\_\_  
(Name of Corporation) (Regular or Special)  
meeting held at the office of the Corporation on \_\_\_\_\_ at which a  
(Date)

quorum was present:

“RESOLVED that the President, Vice Presidents, Secretary and Treasurer of this Corporation be and are hereby authorized to enter into contracts for the purchase and sale of securities for the firm position or for account of customers, and to extend such authority to such employees as they may delegate.”

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Corporation this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
(Secretary)

\_\_\_\_\_  
(Witness)

(SEAL)

**Exhibit #6**

**CERTIFICATION**

I HEREBY CERTIFY that the attached is a true and correct copy of the list of stockholders, together with the number of shares held by each, of \_\_\_\_\_, as of \_\_\_\_\_.  
(Name of Corporation) (Date)

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Corporation this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
(Secretary)

\_\_\_\_\_  
(Witness)

(SEAL)