

# **PARTICIPANT EXCHANGE CERTIFICATION FOR MARKET MAKER, SPECIALIST OR REGISTERED TRADER**

The Undersigned Participant Exchange hereby certifies that \_\_\_\_\_

\_\_\_\_\_ (Member) is registered as a:

Market Maker \_\_\_\_\_

Specialist \_\_\_\_\_

Registered Trader \_\_\_\_\_

Stock Specialist \_\_\_\_\_

Note: The market maker, specialist or registered trader is automatically eligible to clear the same products for which the Clearing Member listed below is eligible.

We hereby approve such Member's execution of the agreement attached hereto:

Acronym Assigned \_\_\_\_\_

Participant Exchange NASDAQ OMX PHLX, Inc.

Representative Name Mary Griffenberg

Representative Signature \_\_\_\_\_

Date \_\_\_\_\_

Clearing Member \_\_\_\_\_

Representative Name \_\_\_\_\_

Representative signature \_\_\_\_\_

Date \_\_\_\_\_

Start Date \_\_\_\_\_