

## BX Preparing a Proposed Rule Change to Adopt a New Sponsored Access Rule



**Please Route To:** Head Traders; Technical Contacts; Compliance Officers

### What you need to know:

- NASDAQ OMX BX is preparing a proposed rule change to adopt a new sponsored access rule that will apply to BX.
- Members may still enter into sponsored access arrangements by submitting a Supplemental MPID [Request Form](#).

### Who you should contact:

- Contact [Subscriber Services](#) +1 212 231 5180.
- Contact [NASDAQ Market Sales](#) at +1 800 846 0477.

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### What has been updated?

NASDAQ OMX BX<sup>SM</sup> (BX<sup>SM</sup>) and the Securities and Exchange Commission (SEC) are discussing the development of a new sponsored access rule that could be consistently applied across all markets. BX intends to file a proposed rule change in this regard, which will be published for notice and comment.

### What sponsored access agreements are required by BX?

[NASDAQ OMX BX Rule 4611\(d\)](#) (Rule) governs sponsored access for BX. The rule requires both a User Agreement for Sponsored Access and a Notice of Consent. However, Members are not required to execute the User Agreement or Notice at this time since a new rule is being developed.

### What is the timing for the requirements?

Effective immediately, Members are not required to sign any sponsored access agreements for BX, though a Supplemental MPID Request Form is still required.

### What if I already submitted the agreements?

No action is required, though we will return them to you upon request.

### Can I continue to enter into sponsored access arrangements? If so, what documents must I submit?

Yes, you may enter into sponsored access arrangements by submitting a Supplemental MPID [Request Form](#) and indicating that you wish to use the additional MPID for sponsored access.

### Where can I get additional information?

- Please review [NASDAQ OMX BX Rule 4611\(d\)](#) for more details.
- Refer to [Regulatory Alert #2008-43](#).
- Refer to the [Sponsored Access page](#) on the NASDAQ OMX Trader<sup>®</sup> website.
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