SEC Issues Further Guidance Regarding the Commission's Emergency Order Concerning Rules to Protect Investors against "Naked" Short Selling



Please Route To: Head Traders; Technical Contacts; Compliance Officers; Buy-Side Traders

## What you need to know:

- The Division of Trading and Markets of the Securities and Exchange Commission (SEC) has issued further guidance regarding the commission's emergency order concerning rules to protect investors against "naked" short selling abuses.
- The <u>quidance</u> includes answers to questions regarding the order.

## Where can I get additional information?

- View Regulatory Alert #2008-21.
- View current and historical lists of <u>Included Financial Firms</u> on the NASDAQ Trader website.
- Contact <u>NASDAQ Market Operations</u> +1 212 231 5100.
- Contact NASDAQ MarketWatch at +1 800 211 4953.

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