

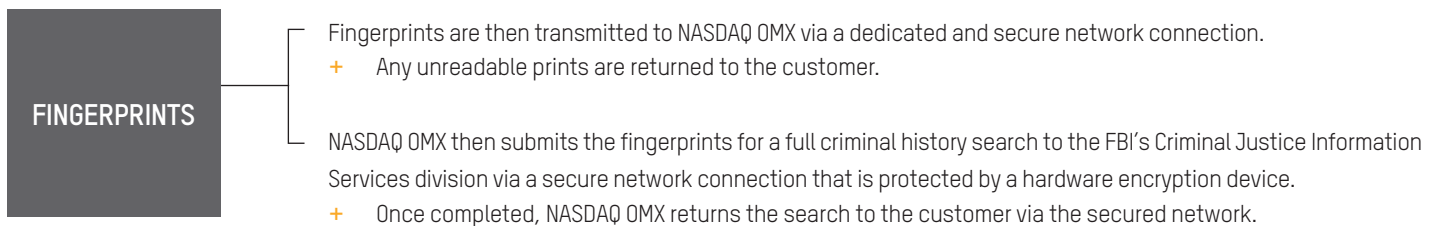
BACKGROUND CHECK SERVICE

The NASDAQ OMX Background Check Service facilitates fingerprint-based background checks for compliance with the Securities Exchange Act of 1934. The Act requires every national securities exchange member, broker, dealer, registered transfer agent and registered clearing agency to conduct a fingerprint-based criminal history background check on each of its partners, directors, officers and employees.

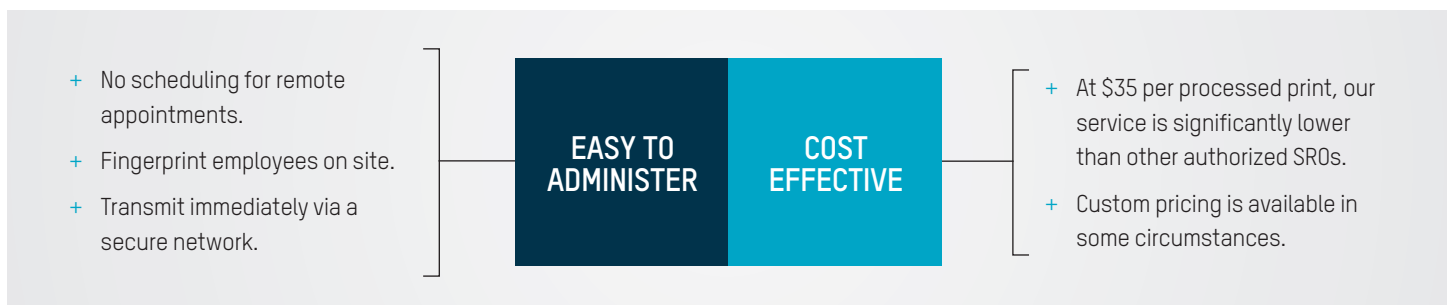
In order to comply with this regulation, fingerprints are submitted to the Federal Bureau of Investigation (FBI) through a self-regulatory organization (SRO) authorized by the Securities Exchange Commission (SEC) and responses are returned to the submitting SRO. The SEC has designated NASDAQ OMX BX as an authorized SRO for this purpose.

A STREAMLINED, SECURE PROCESS

Participating customers collect fingerprints using an electronic scanning system supplied by Cross Match Technologies.



BENEFITS



MORE INFORMATION

WWW.NASDAQOMXTRADER.COM

RICH MITTERANDO P: + 1 212 401 8788

ADAM MESSICK P: + 1 212 231 5449