1. **ACCOUNT FEES**
   Maintenance Fee
   - $150.00 per month for RIO accounts with 20 or fewer trades per month
   - $250.00 per month for RIO accounts with over 20 trades per month
   - $650.00 per month for margin accounts
   Additional Suffix
   - $32.00 per month per suffix

2. **TRADE RECORDING FEES**
   XLE Trades
   - Blue Tickets\(^1\)
     - $0.47 per side
   - XLE Market Maker Trades
     - Cleared Via a SCCP
       - Margin Account
         - $0.30 per trade maximum
         - $100,000 per month
   - Proprietary Trades Cleared Via a SCCP Margin Account
     - $0.47 per trade
   - All Other XLE Trades
     - No Charge
   NON-XLE Trades
   - Yellow Tickets\(^2\)
     - $0.47 per side

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\(^1\) A Blue Ticket refers to a Phlx XLE execution where the clearing information is submitted to SCCP from a source other than XLE.

\(^2\) Yellow tickets are used for clearing only transactions, which are non-Phlx XLE executions.
3. TRANSACTION FEES  Blue tickets and Yellow tickets

   RIO Accounts                      $0.0012 per share per side
   Margin Accounts                  $0.035 per $1,000 of contract value per side

   Maximum Value Charge            $25.00 per trade per side

4. TREASURY TRANSACTIONS

   Per trade transaction            $40.00 (plus pass through costs)
   Per transfer                     $10.00 (plus pass through costs)

5. MARGIN ACCOUNT INTEREST

   Charge on net debit balances     ½% above bank broker call rate

6. RESEARCH FEES

   Per photocopy of input forms     $4.00
   Per microfiche copy              $4.00
   Items less than 90 days old      No charge
   Items 1 year old or less         $15.00 per hour
   Items over 1 year old            $15.00 per hour, $25.00 minimum, Plus archive retrieval costs
7. COMPUTER TRANSMISSIONS/TAPES

- Purchase and Sale Trade Data (daily) $100.00 per month
- Purchase and Sale Trades plus T+2 Settling Trades (daily) $150.00 per month
- Miscellaneous $150.00 per month; includes 6 Tapes/transmissions
  $25.00 per additional Tape/transmission

8. P&L STATEMENT CHARGES

- $0.01 per line

9. BUY-INS

- $5.00 per item

10. TRADE TICKET ADJUSTMENT FEE

- 0 to 5 Trade Ticket adjustments per month No Charge
- 6 to 10 Trade Ticket adjustments per month $50 per ticket adjustment for tickets 6-10
- 11 to 15 Trade Ticket adjustments per month $100 per ticket adjustment for tickets 11-15
- 16 to 20 Trade Ticket adjustments per month $200 per ticket adjustment for tickets 16-20
- 21 or more Trade Ticket adjustment per month $300 per ticket adjustment for tickets in excess of 20