

## Service Facilitator Application

This Service Facilitator Application is for the NASDAQ OMX Global Data Agreement and/or other relevant NASDAQ OMX distributor and/or vendor agreements. NASDAQ OMX requires that your firm submit a separate Service Facilitator Application for each service facilitator that provides a market data service.

\* If a particular line item is not applicable, leave blank.

### Section 1: Distributor Information

<b>Date of Application:</b>	
<b>Distributor Name:</b>	
<b>Distributor System Name:</b>	
<b>This Application is associated with:</b>	<input type="checkbox"/> A new Agreement <input type="checkbox"/> An update of the existing Agreement

### Section 2: Service Facilitator Information

<b>Service Facilitator Name:</b>		
Service Facilitator Administrative Contact		Service Facilitator Billing Contact
Mr./Ms./Mrs. _____ First Name Last Name		Mr./Ms./Mrs. _____ First Name Last Name
Phone Number _____		Phone Number _____
E-mail Address _____		E-mail Address _____
Group E-mail Address (optional) _____		Group E-mail Address (optional) _____
<input type="checkbox"/> Billing Address		<input type="checkbox"/> Billing Address
Address _____		Address _____

### Section 3: Billing, Reporting, Administration Information

**Note: NASDAQ OMX can only invoice firms that have signed a market data distributor/vendor Agreement.**

BILLING Address		
Billable Entity: <input type="checkbox"/> Distributor or <input type="checkbox"/> Service Facilitator		
Street Address _____	Street Address (P.O. Box Numbers Not Accepted) _____	
City, State, Province, Postal Code _____	Country _____	
<b>NASDAQ OMX Account Number</b>	_____ or <input type="checkbox"/> New Invoice	
<b>Monthly Summary Reporting</b>	<input type="checkbox"/> Distributor <input type="checkbox"/> Service Facilitator	<input type="checkbox"/> Online Vendor Reporting (OLIVER) <input type="checkbox"/> Email
<b>Monthly Detailed Reporting (required for datafeed products and external Distributors)</b>	<input type="checkbox"/> Distributor <input type="checkbox"/> Service Facilitator	<input type="checkbox"/> VRXML <input type="checkbox"/> VARS <input type="checkbox"/> Spreadsheet

## Section 4: Data Feed, Agreement & Display Information

1. Does the Service Facilitator receive a datafeed/API/uncontrolled stream of data from the Distributor or the Distributor's Group?	<input type="checkbox"/> Yes, and Service Facilitator will also sign a market data Distributor / Vendor Agreement	<input type="checkbox"/> No
2. Does the Distributor agree to be bound by the Service Facilitator Policy as posted in the NASDAQ OMX Data Requirements?	<input type="checkbox"/> Yes	<input type="checkbox"/> No, Service Facilitator will sign a market data distributor/vendor Agreement directly with NASDAQ OMX
3. Does the Distributor confirm the Service Facilitator Application meets the NASDAQ OMX Display Requirements?	<input type="checkbox"/> Yes, screenshot attached	<input type="checkbox"/> No <input type="checkbox"/> Not Applicable, as the Service Facilitator will not display the data

## Section 5: System Information

Functions	Distributor		Service Facilitator	
	Yes	No	Yes	No
1. Entitlements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Entitlement System Details	Name of Entitlement System: _____ Version Number: _____			
3. Metering/Quote Counting/Usage Counting	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Display Software	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Display Software Details	Name of Display Software: _____ Version Number: _____			
6. Monthly Usage Reporting	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Subscriber Agreements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Subscriber Agreement Administration	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Subscriber Agreement Administration Details	<input type="checkbox"/> Vendor Indemnification <input type="checkbox"/> Online <input type="checkbox"/> Incorporation by Reference <input type="checkbox"/> Hard Copy/Paper			
10. Other (specify) _____ _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**I certify that the information provided on this Service Facilitator Application is accurate.**

<b>Distributor Signature:</b>	
<b>Printed Name:</b>	
<b>Title:</b>	
<b>Date:</b>	

**All applicable policy details and documents may be accessed at:**

<http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsData/datapolicies.pdf>.