

## **System Application**

## Managed Data Solution Recipients – NASDAQ U.S. Data

This System Application is for Recipients of Managed Data Solutions from an authorized datafeed Distributor. NASDAQ requires that your firm submit a <u>separate</u> System Application for <u>each Managed Data Solution</u>.

| NOTE: THE MANGED DATA SOLUTION BILLING  | MODEL IS FOR INTERNAL USAGE O   | NLY.    |       |  |  |  |
|---|---------------------------------|---------|-------|--|--|--|
| Date of Application:  |                                 |         |       |  |  |  |
| Managed Data Recipient:   |                                 |         |       |  |  |  |
| Distributor/ Datafeed Provider:   |                                 |         |       |  |  |  |
| SECTION 1: CONTACT INFORMATION  |                                 |         |       |  |  |  |
| Primary/ Business Contact   | Technical Contact               |         |       |  |  |  |
|   |                                 |         |       |  |  |  |
| First Name Last Name  | First Name                      | Last Na | ame   |  |  |  |
| Phone Number  | Phone Number                    |         |       |  |  |  |
| E-mail Address  | E-mail Address                  |         |       |  |  |  |
| Group E-mail Address [optional]   | Group E-mail Address [optional] |         |       |  |  |  |
| Address   | Address                         | Address |       |  |  |  |
| SECTION 2: SYSTEM INFORMATION   |                                 |         |       |  |  |  |
| Describe how your firm intends to use the   | Information/ market data        |         |       |  |  |  |
| Describe non-your min memue to dee me   | mormation, market addi          |         |       |  |  |  |
|   |                                 |         |       |  |  |  |
|   |                                 |         |       |  |  |  |
|   |                                 |         |       |  |  |  |
| Will Information from this System be redistributed to <b>additional servers</b> , which in turn, can redistribute data to various and/or devices?                 |                                 | □ No    | □ Yes |  |  |  |
| 2. Will your firm offer a service on this System that includes an API [Application Programming Interface] or DDE [Dynamic Data Exchange] as part of this service? |                                 |         | □ Yes |  |  |  |

## **SECTION 3: SYSTEM INFORMATION**

| Please provide the following informa  | ation:                                     |                          |   |                 |  |  |
|---|--|--------------------------|---|-----------------|--|--|
| Indicate which NASDAQ data  | U.S. Products                              |                          |   |                 |  |  |
| products are received on this   | □ NASDAQ TotalView □ TotalView-ITCH FPGA   |                          |   |                 |  |  |
| Managed Data Solution   | □ NASDAQ OpenView □ BX Total               |                          |   |                 |  |  |
|   | ·  |                          |   | ] PSX TotalView |  |  |
|   | ☐ PHLX Orders ☐ Top of PHLX Options [TOPO] |                          |   |                 |  |  |
|   | ☐ Best of NASDAQ Options [BONO]            |                          |   |                 |  |  |
|   | ☐ NASDAQ ITCH To Trade Options [ITTO]      |                          |   |                 |  |  |
|   | Canadian Product                           |                          |   |                 |  |  |
|   | □ Nasdaq CXC Level 1                       |                          |   |                 |  |  |
|   | ☐ Nasdaq CXC Level 2                       |                          |   |                 |  |  |
|   | □ Nasdaq CX2 Level 1                       |                          |   |                 |  |  |
|   | □ Nasdaq CX2 Level 2                       |                          |   |                 |  |  |
|   |  |                          |   |                 |  |  |
| Indicate total number of  |  |                          |   |                 |  |  |
| servers/devices managed by the  |  |                          |   |                 |  |  |
| Distributor   | Total Devices                              |                          |   | Month/ Year     |  |  |
| If no servers/devices are   |  |                          |   |                 |  |  |
| presently on the network, provide approximate start date                        |  |                          |   |                 |  |  |
| and expected number   | Expected Total Devices                     |                          |   | Month/ Year     |  |  |
| от от респечение  |  |                          | 1 |                 |  |  |
| SECTION 4: NON-DISPLAY  |  |                          |   |                 |  |  |
| a. How will your firm offer Non-Display?  |  | Proximity Service        | S | Co-location     |  |  |
| Automated Trading [specify]   |  | Troximity Convice        |   |                 |  |  |
| / tatemated Trading [epochy]  |  |                          |   |                 |  |  |
|   |  |                          |   |                 |  |  |
| Order Routing   |  |                          |   |                 |  |  |
| Other Non-Display use [specify]   |  |                          |   |                 |  |  |
| 1)  |  |                          |   |                 |  |  |
| 2)  |  |                          |   | Ц               |  |  |
|   |  |                          |   |                 |  |  |
| SECTION 5: SIGNATURE  |  |                          |   |                 |  |  |
| I certify that the information provided on this System Application is accurate. |  |                          |   |                 |  |  |
| certify that the information provid   | ea on this System                          | Application is accurate. |   |                 |  |  |

| -y |
|----|
|    |
|    |
|    |
|    |
|    |
|    |
|    |
|    |
|    |
|    |
|    |
|    |