

# FINRA Services Port

Please email the completed form to [subscriber@nasdaq.com](mailto:subscriber@nasdaq.com) or fax to +1 212 231 5426

## Order Type

☐ Add
 ☐ Removal
 ☐ Modify

## Quoting & Trade Reporting

Disaster Recovery (DR) Ports are automatically included with production ports except when indicated.

Please see additional information on NTF services on page 3 of this form.

TRACE trade reporting	ADF trade reporting, quoting	TRF Reporting	ORF reporting using new platform
<b>FIX 4.4 SP</b> Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>	<b>FIX 4.4 Trades</b> Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>	<b>FINRA/ Nasdaq TRF Carteret</b> <b>FIX 4.2</b> Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/> DR/QTY <input type="checkbox"/>	<b>FIX 4.4 (ORF)</b> Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>
<b>FIX 4.4 CA</b> Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>	<b>FIX 4.4 Quotes</b> Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>	<b>FINRA/ Nasdaq TRF Chicago</b> <b>FIX 4.2</b> Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/> DR/QTY <input type="checkbox"/>	
<b>FIX 4.4 TS</b> Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>			



## Contact Information

	Contact	Phone	Email
Order			
Technical			

## Billing Address

Street			
Suite / Room #		City / State / Zip	
Phone		Email	

## Order Authorization

Firm Name		MPID/Firm ID	
Authorized Contact: (Please Print)		Date	
Signature			

Customers are responsible for fees for all services and products requested on this form, as set forth in Nasdaq rules (see Equity Rule 7 Pricing Schedule, Sections 115 and 130). NTF metered testing fee waivers are available to customers pursuant to Nasdaq rules (see Equity Rule 7 Pricing Schedule, Section 130(d)(3) for eligibility). Customers seeking a waiver must register their waiver request with [ntfbilling@nasdaq.com](mailto:ntfbilling@nasdaq.com) prior to the commencement of testing. Customers must provide MPID, functionality being tested, and the desired start date.

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