

# FINRA Services Port

Please email the completed form to [subscriber@nasdaq.com](mailto:subscriber@nasdaq.com) or fax to +1 212 231 5426

## Order Type

Add     Removal     Modify

## Quoting & Trade Reporting

Disaster Recovery (DR) Ports are automatically included with production ports except when indicated.

Please see additional information on NTF services on page 3 of this form.

TRACE trade reporting	ADF trade reporting, quoting	TRF Reporting	ORF reporting using new platform
FIX 4.4 SP Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>	FIX 4.4 Trades Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>	FINRA/ Nasdaq TRF Carteret  FIX 4.2 Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>  FINRA/ Nasdaq TRF Chicago  FIX 4.2 Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/> DR/QTY <input type="checkbox"/>	FIX 4.4 (ORF) Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>
FIX 4.4 CA Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>	FIX 4.4 Quotes Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>		
FIX 4.4 TS Production/QTY <input type="checkbox"/> NTF/QTY <input type="checkbox"/>			

Do you want to copy an existing account? If yes, please provide the session to copy and the details below can be omitted.	
Has this version of your front-end application been certified?	<input type="checkbox"/> Yes <input type="checkbox"/> No

## Trade Reporting: Drop Copy

<input type="checkbox"/> FIX 4.2 ACT (TRF Carteret)	<input type="checkbox"/> FIX 4.2 ACT (TRF Chicago)			
<input type="checkbox"/> FIX 4.4 SP	<input type="checkbox"/> FIX 4.4 CA	<input type="checkbox"/> FIX 4.4 TS	<input type="checkbox"/> FIX 4.4 ORF	<input type="checkbox"/> FIX 4.4 ADF Trades
Please provide the MPID(s) you would like to drop.				
Please provide the Sendercomp(s) you would like to drop.				
Please provide TRF Port Outbound Timestamp Configuration. <input type="checkbox"/> Millisecond <input type="checkbox"/> Micro / Nanosecond				
<b>TRF Drop Copy Settings</b> Please select the messages you wish to receive on the drop session. (Check all that apply)				
<input type="checkbox"/> TRF Acknowledgements	<input type="checkbox"/> TRF Alleges	<input type="checkbox"/> TRF Locks		
<input type="checkbox"/> TRF Breaks	<input type="checkbox"/> TRF Cancels	<input type="checkbox"/> Decline Drop Copy		
<input type="checkbox"/> TRF Per Trade Breach	<input type="checkbox"/> TRF Aggregate Limit Breach	<input type="checkbox"/> TRF Clearing Relationship Updates		
<input type="checkbox"/> TRF Give Up Notifications	<input type="checkbox"/> TRF Clearing Notifications			

## Removal Requests

Please provide the product and logon(s) for disconnect below: (Please select only one)		
<input type="checkbox"/> FIX 4.4 TS FIX	<input type="checkbox"/> 4.2 ACT (TRF Carteret)	<input type="checkbox"/> FIX 4.4 ADF Quotes
<input type="checkbox"/> FIX 4.4 SP FIX	<input type="checkbox"/> 4.2 ACT (TRF Chicago)	<input type="checkbox"/> FIX 4.4 ADF Trade
<input type="checkbox"/> FIX 4.4 CA	<input type="checkbox"/> FIX 4.4 (ORF)	
<b>Special Instructions</b>		

## Contact Information

	Contact	Phone	Email
Order			
Technical			

## Billing Address

Street			
Suite / Room #		City / State / Zip	
Phone		Email	

## Order Authorization

Firm Name		MPID/Firm ID	
Authorized Contact: (Please Print)		Date	
Signature			

Customers are responsible for fees for all services and products requested on this form, as set forth in Nasdaq rules (see Equity Rule 7 Pricing Schedule, Sections 115 and 130). NTF metered testing fee waivers are available to customers pursuant to Nasdaq rules (see Equity Rule 7 Pricing Schedule, Section 130(d)(3) for eligibility). Customers seeking a waiver must register their waiver request with [ntfbilling@nasdaq.com](mailto:ntfbilling@nasdaq.com) prior to the commencement of testing. Customers must provide MPID, functionality being tested, and the desired start date.

NTF metered testing fee waivers are available to customers pursuant to Nasdaq rules (see Equity Rule 7 Pricing Schedule, Section 130(d)(3) for eligibility). Customers seeking a waiver must register their waiver request with [ntfbilling@nasdaq.com](mailto:ntfbilling@nasdaq.com) prior to the commencement of testing. Customers must provide MPID, functionality being tested, and the desired start date. Customers are responsible for fees for all services and products requested on this form, as set forth in Nasdaq rules (see Equity Rule 7 Pricing Schedule, Sections 115 and 130). NTF metered testing fee waivers are available to customers pursuant to Nasdaq rules (see Equity Rule 7 Pricing Schedule, Section 130(d)(3) for eligibility). Customers seeking a waiver must register their waiver request with [ntfbilling@nasdaq.com](mailto:ntfbilling@nasdaq.com) prior to the commencement of testing. Customers must provide MPID, functionality being tested, and the desired start date.

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