

2026 – Market Wide Circuit Breaker Industry Test

Nasdaq Equities Questionnaire

Participant:

Participant Contacts:

Date Testing Completed:

PLEASE INDICATE SUCCESSFUL TEST ACTIVITIES FOR EACH MARKET TESTED.

TEST ACTIVITY	NASDAQ	PSX	NTX
Market-Wide Circuit Breaker (MWCB) Testing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.Receive and process MWCB halt messages from the SIPs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.Receive and process resume messages from the SIPs following a MWCB halt	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.Receive and process market data from the SIPs relevant to MWCB halts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Send orders following a Level 1 or Level 2 MWCB halt in a manner consistent with usual trading behavior.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

To the extent that Participant was unable to receive and process any of the messages identified in items (1) – (4) above, please comment below which messages it was unable to process and if known, why.

Any additional comments:

_____(Participant) certifies that it has participated in the quarterly Market Wide Circuit Breaker industry test for each designated Nasdaq market and completed each testing obligation referenced above.

Name of Authorized Person:

Date: