

MEMORANDUM

To: Members, Member Organizations, Participants and Participant Organizations.
From: Anne Dormeier, Training Coordinator
Date: Wednesday, November 24th, 2007
RE: Mandatory Training for Floor Members

The Exchange is required to conduct mandatory annual training that addresses compliance with federal securities laws and Exchange rules in place to prevent and deter unlawful trading by floor members. **This training is specifically required for all floor members** and some members of the Exchange's regulatory staff.

Training will take place in the Training Room on the 8th Floor. The topics covered will be Rules 1033/1064.

Attendance is mandatory and space at each session will be limited. Space will be allocated on a first-come, first served basis.

<u>Session 1</u>	Wednesday, November 7, 2007 @ 8:30 am
<u>Session 2</u>	Wednesday, November 7, 2007 @ 1:00 pm
<u>Session 3</u>	Thursday, November 8, 2007 @ 4:20 pm
<u>Session 4</u>	Monday, November 19, 2007 @ 1:00 pm
<u>Session 5</u>	Monday, November 19, 2007 @ 4:20 pm
<u>Session 6</u>	Tuesday, November 20, 2007 @ 8:30 am

Please take a moment to reserve space at the session of your choice by completing the attached reservation form and returning it to the Options Correction Post.

**** New Tardiness Policy ****

In order to promote more effective Training, a Tardiness Policy has been implemented for both Members and Staff. We make every effort to keep sessions brief and topical. Please arrive at your scheduled session on time; the door will be closed five minutes after each session begins. Those arriving late may attend the balance of the session, but will not receive credit.