PSX Equities Port Request Form

Please email the completed form to Subscriber@nasdaqomx.com or fax to +1 212 231 5426

Order Type
- [ ] Add
- [ ] Upgrade
- [ ] Add - FIX/RASH Port Promotion (4)
- [ ] Removal - (Please see second page)

Connectivity
Which service provider will you be using?
- [ ] Co-Lo
- [ ] CPC
- [ ] VPN
- [ ] Extranet
- [ ] 10gb - Direct Connect
- [ ] 10gb - Colo

If you have selected VPN, do you require access to the Primary and Backup data center? [ ] Yes [ ] No

Equities Trading: Order Entry / Reporting
- [ ] OUCH 4.2 TCP
- [ ] FIX 4.2
- [ ] RASH Port 1.1
- [ ] OUCH 4.2 UDP
- [ ] FIX 4.1
- [ ] RASH Port 1.0
- [ ] OUCH 4.1 TCP
- [ ] OUCH 4.1 UDP
- [ ] OUCH 4.0 TCP
- [ ] OUCH 4.0 UDP
- [ ] OUCH 3.3
- [ ] OUCH 3.2

How many sessions would you like to request? (Default: 1)

Do you want to copy or upgrade an existing account? If yes, please provide the session to copy and the details below can be omitted.

Has this version of your front-end application been certified? [ ] Yes [ ] No

What type of connection do you want to order?
- [ ] Test (1)
- [ ] Production
- [ ] NTF

(1) The Test option above is only applicable to order entry equity ports.

Do you want to reject ISO orders? [ ] Yes [ ] No

**OUCH Only**
- [ ] Price Slide

  - Do Nothing (default)
  - Cancel Order
  - Cancel / Re-Enter Order

  Self Match Prevention (by MPID)
  - [ ] Yes
  - [ ] No

  - Cancel oldest
  - Decrement both sides

**FIX / RASH Only**
Do you want routing capabilities?

  - What MPID will be giving up on this port (i.e. NQPX)?
  - [ ] Yes
  - [ ] No

  - What clearing number will the giveup MPID clear?
  - [ ] Yes
  - [ ] No

  What is the desired max order size? (Default: 25,000)

  - What is your source IP address?

**OUCH / FIX Only**
Do you want to have all orders cancelled on disconnect? [ ] Yes [ ] No
Equities Trading: Drop Copy

☐ Drop 2.2 (OUCH Only) ☐ RASH Drop (RASH Only) ☐ FIX Drop (OUCH Only) ☐ FIX Drop (RASH Only)

☐ Drop 2.1 (OUCH Only)

Please provide the MPID(s) you would like to drop. _________________________

Please provide the Sendercomp(s) you would like to drop. _________________________

Please select the messages you wish to receive on the drop session. (Check all that apply)

☐ Accepts ☐ Breaks ☐ Cancels ☐ Executions ☐ Rejects (FIX Only)

Please select the protocol version applicable: ☐ FIX 4.0 ☐ FIX 4.1 ☐ FIX 4.2

Removal Requests

Please provide the product and logon(s) for disconnect below:

☐ OUCH ☐ FIX Port ☐ RASHPort ☐ DROP

 Reason For Removal: _________________________

 Reason For Removal: _________________________

 Reason For Removal: _________________________

 Reason For Removal: _________________________

 Reason For Removal: _________________________

Contact Information

Order Contact ___________ Phone: ___________ Email: ___________

Business Contact ___________ Phone: ___________ Email: ___________

Trader Contact ___________ Phone: ___________ Email: ___________

Technical Contact ___________ Phone: ___________ Email: ___________

Security Admin ___________ Phone: ___________ Email: ___________

Billing Address

Street: ___________ Phone: ___________ Email: ___________

Suite / Room #: ___________ Phone: ___________ Email: ___________

City / State / Zip ___________ Phone: ___________ Email: ___________

Additional Comments or Request Notes

________________________________________________________________________________________________________________

________________________________________________________________________________________________________________

________________________________________________________________________________________________________________

________________________________________________________________________________________________________________

Order Authorization

Firm Name: ___________ MPID/Firm ID: ___________

Authorized Contact:(Please Print) ___________ Direct Debit Clearing # ___________

Signature: ___________ Date: ___________

(2) The automatic cancel feature is offered through FIX and OUCH implementation. You understand that NASDAQ OMX provides a best effort attempt to cancel orders upon disconnection and a sequenced logoff with OUCH 3.1/4.0 will not initiate a cancel on disconnect. In the event your connection is disconnected, nevertheless, you are required to call the NASDAQ Trade Desk at +1 212 231 5100 to status orders. There is no guaranty that the automatic cancel feature provided by NASDAQ OMX will be error free or operate without interruption. By signing below, you agree and acknowledge that NASDAQ OMX is not liable or responsible in any way for any orders, which may fail to be cancelled using the automatic cancel feature.


All services and products requested on this form are governed by the terms in the NASDAQ OMX U.S. Services agreement http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsTrading/nasdaq_access_agreement.pdf and the NASDAQ OMX Transaction Services Policies http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsTrading/NTSPolicies.pdf document. If you do not receive a written confirmation from NASDAQ OMX within 3 business days that your request has been received and processed, please contact NASDAQ OMX Subscriber Services at 212 231 5180 or subscriber@nasdaqomx.com.

Please be advised that beginning on January 2, 2013, all new port requests from subscribers must be received no later than 3:00PM EST to guarantee processing for the next trading day. In the event that NASDAQ OMX receives a request after 3:00PM EST, every effort will be made by Subscriber Services to process the request for the next day, but delivery will not be guaranteed. In all cases, Subscriber Services will respond promptly with an estimated date of completion.