



SPONSORING MEMBER ORGANIZATION ACCESS AGREEMENT

This Sponsored Access Agreement ("Agreement") is made by and between The NASDAQ OMX Group, Inc. a Delaware Corporation whose principal offices are located at One Liberty Plaza, 165 Broadway, New York, NY 10006, and each of the following subsidiaries: The NASDAQ Stock Market LLC; The NASDAQ Options Market LLC; NASDAQ OMX BX, LLC; and NASDAQ OMX PHLX LLC (collectively, "NASDAQ") and the Sponsoring Member Organization identified below. This Agreement is effective when executed by NASDAQ ("Effective Date"). NASDAQ operates exchanges for trading securities ("NASDAQ Exchange"). Pursuant to the terms of this Agreement, NASDAQ will provide Sponsoring Member Organization's Sponsored Participants with access to NASDAQ Trading Systems for the Exchange(s) of which Sponsoring Member is a member in good standing. Such use shall be governed by the Sponsored Participant Agreement as well as by the Certificate of Incorporation, By-Laws, and Rules of the NASDAQ Exchanges.

NASDAQ Authorized Signature:

Title: _____ Date: _____

Sponsoring Member Organization: _____

CRD #: _____

Exchange Membership:

- ☐ NASDAQ Stock Market
- ☐ NASDAQ OMX BX
- ☐ NASDAQ OMX PHLX

Address, City, State, zip: _____

Contact Name: _____ Phone: _____

Fax: _____ Email: _____

NASDAQ will provide Sponsored Participant with access to the NASDAQ Trading Systems pursuant to NASDAQ Rules and, in particular, rules governing the provision of access.

The Sponsoring Member Organization hereby certifies that it is an approved member of the Exchange(s) indicated above and has been designated by a Sponsored Participant (as identified in the Addendum) to execute transactions on

or through one or more NASDAQ Exchange. The Sponsoring Member Organization hereby acknowledges and agrees that it is responsible for any and all actions taken by the Sponsored Participant or by any person acting on or in the name of the Sponsored Participant, on or through NASDAQ and for all related contractual and regulatory obligations thereto. Any orders entered into NASDAQ by the Sponsored Participant, or by any person acting on or in the name of the Sponsored Participant, that identify the undersigned as the Sponsoring Member Organization and any execution occurring as a result of such orders, are binding in all respects on the Sponsoring Member Organization.

➔ Sponsoring Organization Authorized Signature: _____

Sponsorship provided to:

- ☐ NASDAQ Stock Market
 - ☐ Equities
 - ☐ Options
- ☐ NASDAQ OMX BX
- ☐ NASDAQ OMX PHLX
 - ☐ Equities
 - ☐ Options ¹

Print Name: _____ Title: _____

Date: _____

¹ Sponsored participants on the PHLX options market will require an additional account number.