

This System Application is for the NASDAQ OMX Global Data Agreement and/or other relevant NASDAQ OMX distributor and/or vendor agreements. A “**Distributor**” is the organization applying for NASDAQ OMX datafeed. NASDAQ OMX requires that your firm submit a **separate** System Application for **each entitlement system** that controls market data.

All applicable policy details and documents may be accessed at the following link:
<http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsData/datapolicies.pdf>

Date of Application: _____

Distributor Name: _____

System Name: _____

Section 1: Unit of Count [Unit of Count determines pricing.]

Select **ONE** applicable unit of count for this System Application:

- Device/Access/Stand Alone** **[Controlled]**
- Hosted Solution** **[Controlled]**
- Managed Data Solution** **[Controlled]**
- Retransmission Datafeed** **[Uncontrolled]**

Section 2: Billing Information

Will your firm offer data services to affiliated companies?

- No Yes, then complete the below or List of Affiliates Form if additional space is required.

Affiliated Company Names*	Registered Address	Distributor's Group Holding

NASDAQ OMX Account Info.	Invoice Format	Data Feed Billing Address
Existing Customer Number from Invoice: _____ or <input type="checkbox"/> New Invoice	<input type="checkbox"/> email only <input type="checkbox"/> email and paper <input type="checkbox"/> paper only	Street Address [P.O. Box Numbers Not Accepted] _____ Street Address 2 _____ City, State, Province, Postal Code Country
Email address for Invoices: _____		

Section 4: Reporting Administration Information

Distributors are required to submit monthly market data usage reports indicating number and location of terminals or user IDs or other measure of usage as specified below. Indicate submission method.

Monthly Summary Reporting	<input type="checkbox"/> Online Vendor Reporting System [NORA] <input type="checkbox"/> Email
Monthly Detailed Reporting [required for datafeed products and external Distributors]	<input type="checkbox"/> VRXML <input type="checkbox"/> VRXLS /Spreadsheet <input type="checkbox"/> Other

Section 5: System Information

Describe how your firm intends to use the market data on a separate sheet. [System diagram required]

Please provide the following information:

Indicate type of external subscriber receiving data.	<input type="checkbox"/> External Professional	<input type="checkbox"/> External Non-Professional
Indicate total number of user ids/devices presently on the network. [include all devices that receive real-time data].	_____	_____/_____ Month/ Year
If no user ids/devices are presently on the network, provide approximate start date of first Subscriber.	_____/_____ Month/ Year	

Section 5a: Entitlement System

Provide list of all Entitlement and Software Providers with NASDAQ OMX data both proprietary and/or third party.

Name of Entitlement System Provider	Name of Entitlement System
1. _____	Version _____ Number: _____
Reporting <input type="checkbox"/> Unique User ID & Password <input type="checkbox"/> Interrogation Device/IP Address <input type="checkbox"/> Cable Television <input type="checkbox"/> Per Query <input type="checkbox"/> Unique Visitors <input type="checkbox"/> Households	
2. _____	Version _____ Number: _____
Reporting: <input type="checkbox"/> Unique User ID & Password <input type="checkbox"/> Interrogation Device/IP Address <input type="checkbox"/> Cable Television <input type="checkbox"/> Per Query <input type="checkbox"/> Unique Visitors <input type="checkbox"/> Households	
Entitlement Timeframe	
Entitlement Timeframe: <input type="checkbox"/> Intraday <input type="checkbox"/> Overnight <input type="checkbox"/> Other _____	
Name of Display Software Provider	Name of Display Software/Product
1. _____	Version _____ Number: _____
2. _____	Version _____ Number: _____

Section 6: Distribution Services

How will your firm submit units of count and/or reportable units? See [NASDAQ OMX Global Data Policies](#) for definitions.

Submission of Information		Internal within Distributor's Group		External Subscribers [Attach screenshots]	
Distribution Method	IP address	Real-time	Delayed	Real-time	Delayed
User Terminal - Trading		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
User Terminal - Research		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mobile Device		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Wallboard		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Television		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Website, Internet, Intranet		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Text Messaging		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sponsored Access		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
DMA		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Risk Management		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Back Office or Middle Office		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Clearing and Settlement		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other [specify]		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
a) _____		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) _____		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Section 6a: Non-Display & Derived Data Services

a. Will your firm offer Non-Display <input type="checkbox"/> No <input type="checkbox"/> Yes		Co-location
Automated Trading [specify] _____	<input type="checkbox"/>	<input type="checkbox"/>
Order Routing	<input type="checkbox"/>	<input type="checkbox"/>
Other Non-Display use [specify]	<input type="checkbox"/>	<input type="checkbox"/>
a) _____	<input type="checkbox"/>	<input type="checkbox"/>
b) _____	<input type="checkbox"/>	<input type="checkbox"/>

b. Will your firm offer Derived Data <input type="checkbox"/> No <input type="checkbox"/> Yes	Co-Location	Internal Distribution	External Distribution
Creation Indices	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Single Security Symbol Data	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Multiple Security Symbol Data	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

c. Will your firm offer the following services?

a. Will data from a service be redistributed to additional servers, which in turn, can redistribute data to various users, servers and/or devices?	<input type="checkbox"/> No	<input type="checkbox"/> Yes
b. Will your firm offer a service that includes an API [Application Programming Interface] or DDE [Dynamic Data Exchange] as part of your display service?	<input type="checkbox"/> No	<input type="checkbox"/> Yes

Section 7: Subscriber Agreement Information [External Distribution Only]

As an external Distributor, your firm must administer the NASDAQ OMX Global Subscriber Agreement or equivalent to Subscribers. Please check here if your firm does **NOT** distribute market data to external subscribers.

How will your firm administer the NASDAQ OMX Subscriber Agreement?

NASDAQ OMX Subscriber Agreement Administration	
<input type="checkbox"/> Vendor Indemnification	Requirements are detailed in the market data agreements.
<input type="checkbox"/> Online	Distributor offers the agreement via the internet.
<input type="checkbox"/> Incorporation by Reference	Distributor adds specific language into the distributors' own agreement with the subscriber.
<input type="checkbox"/> Hard Copy/Paper	Distributor provides subscriber with a paper copy of a subscriber agreement. Distributor retains executed originals , but does not submit documents to NASDAQ.

I certify that the information provided on this System Application is accurate.

Signature:	
Printed Name:	
Title:	
Date:	